

11-09-00

A

## FISH &amp; RICHARDSON P.C.

225 Franklin Street  
Boston, Massachusetts  
02110-2804

Telephone  
617 542-5070

Facsimile  
617 542-8906

Web Site  
www.fr.com

10841 U.S. PTO  
09/708384

11/08/00

November 8, 2000

Attorney Docket No.: 11333-014001

**Box Patent Application**

Commissioner for Patents  
Washington, DC 20231

Presented for filing is a Continuation-In-Part patent application of:

Applicant: JAMES R. HANSEN

Title: REPORTING THE STATE OF AN APPARATUS TO A REMOTE  
COMPUTER

Enclosed are the following papers, including those required to receive a filing date  
under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	26
Claims	16
Abstract	1
Declaration	1
Drawing(s)	6

## Enclosures:

— Postcard.

Assignment and Recordation Form Cover Sheet

## CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL227256402US

I hereby certify under 37 CFR §1.10 that this correspondence is being  
deposited with the United States Postal Service as Express Mail Post  
Office to Addressee with sufficient postage on the date indicated below  
and is addressed to the Commissioner for Patents, Washington,  
D.C. 20231

Date of Deposit November 8, 2000

Signature

Samantha Bell  
Typed or Printed Name of Person Signing Certificate

11/08/00  
10839 U.S. PTO  
Richardson  
1859-1951

BOSTON  
DALLAS  
DELAWARE  
NEW YORK  
SAN DIEGO  
SILICON VALLEY  
TWIN CITIES  
WASHINGTON, DC

FISH & RICHARDSON P.C.

Commissioner for Patents

November 8, 2000

Page 2

Basic filing fee	\$355
Total claims in excess of 20 times \$9	\$495
Independent claims in excess of 3 times \$40	\$160
Fee for multiple dependent claims	\$0
Total filing fee:	\$1010

This application is entitled to a Small Entity Status; a Small Entity Declaration will be filed at a later date. No filing fee is enclosed. Please apply any other required fees or any credits, **EXCEPT THE FILING FEE**, to deposit account 06-1050, referencing the attorney docket number shown above.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

Eric L. Prahl  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

Respectfully submitted,



Paul A. Pysher  
Reg. No. 40,780  
Enclosures  
PAP/dxb  
20161000.doc

003001-10000000

[illegible]

TITLE: REPORTING THE STATE OF AN APPARATUS TO A  
REMOTE COMPUTER

APPLICANT: JAMES R. HANSEN

Signature Samantha Bell  
Typed or Printed Name of Person Signing Certificate

REPORTING THE STATE OF AN  
APPARATUS TO A REMOTE COMPUTER

5

Claim To Priority

This application is a continuation-in-part application of U.S. Patent Application No. 09/627,201, filed on July 28, 2000 in the name of James R. Hansen.

Background

10  
15  
20

This invention relates to using a device embedded in an apparatus (an "embedded device") to report the state of the apparatus to a remote computer.

An apparatus may contain an embedded device, such as a controller, to monitor and control its operation. Any type of apparatus may have an embedded device, including, but not limited to, home appliances, such as washing machines, dishwashers, and televisions, and manufacturing equipment, such as robotics, conveyors and motors.

Embedded devices are often connected to an internal network, such as a local area network (LAN), with an interface to the Internet. Other devices on the internal network may communicate with the embedded devices over the internal network.

Summary

In general, in one aspect, the invention is directed to using a device embedded in an apparatus to report the state of the apparatus to a remote computer. This aspect  
5 of the invention features detecting the state of the apparatus, generating a message that reports the state of the apparatus using a self-describing computer language, and sending the message to the remote computer. An example of a self-describing computer language is eXtensible Markup  
10 Language (XML). Examples of messages that may be sent include an electronic mail (e-mail) message and a hypertext transfer protocol (HTTP) command, both containing XML code.

By virtue of the device-generated message, the remote computer can obtain the state of the apparatus even if the  
15 remote computer cannot directly address the embedded device. Thus, computers that cannot communicate directly with the embedded device, such as computers that are not on the same internal network as the embedded device, can still obtain the status of the apparatus. Moreover, because the  
20 state is reported using a self-describing computer language, the remote computer can interpret the state without the aid of a person. As a result, processes, such

as maintenance and the like, can be scheduled automatically for the apparatus and/or embedded device by the remote computer.

This aspect of the invention may include one or more  
5 of the following features. The state is indicative of an error condition in the apparatus. The error condition is a variable that deviates from an acceptable value or a predetermined range of acceptable values. The function of detecting the state includes receiving the state from the  
10 apparatus by, e.g., retrieving the state periodically from the apparatus. The function of detecting the state includes obtaining an identifier for the apparatus, the identifier relating to the state of the apparatus, and using the embedded device to read the state from the  
15 apparatus using the identifier.

This aspect of the invention may also include determining if the state of the apparatus has changed. The message is generated if the state of the apparatus has changed and is not generated otherwise. The function of  
20 determining if the state of the apparatus has changed includes comparing the state received from the apparatus to a previous state of the apparatus.

The message is generated using a predefined template by obtaining one or more variables relating to the apparatus and inserting the one or more variables into the template. The state of the apparatus may be included as  
5 part of a body of an e-mail message or as part of an attachment to the e-mail message. The state of the apparatus may be included as part of an HTTP command.

In general, in another aspect, the invention is directed to obtaining a state of an apparatus from a  
10 device, such as a controller, embedded in the apparatus. This aspect of the invention features receiving a message that reports the state of the apparatus using a self-describing computer language and extracting the state of the apparatus from the message.

This aspect of the invention may include one or more  
15 of the following features. The self-describing computer language is XML. The state of the apparatus is indicative of an error condition in the apparatus. The error condition is a variable that deviates from an acceptable  
20 value or a predetermined range of acceptable values. The state of the apparatus is passed to a customer relationship management system. The message may be included in an HTTP

command or may be part of an e-mail.

In general, in another aspect, the invention features a system that includes first and second devices. The first device includes circuitry that generates a message  
5 reporting a state of an apparatus using a self-describing computer language. The second device is in communication with the first device. The second device includes circuitry that receives the electronic mail message from the first device.

10 This aspect of the invention may include one or more of the following features. The second device receives the message from the first device and extracts the state of the apparatus from the message. The first device is embedded in the apparatus and the second device is a remote  
15 computer. The message may be included in an HTTP command or may be part of an e-mail.

Other features and advantages of the invention will become apparent from the following description, including the claims and drawings.



Brief Description of the Drawings

Fig. 1 is a block diagram of a network containing a remote computer and an apparatus having an embedded device;

Fig 2 shows the format of a tag used to store state  
5 variables for the apparatus;

Fig. 3 is flowchart of a process performed by the embedded device to report the state of the apparatus to the remote computer;

Fig. 4 is a flowchart of an alternative process  
10 performed by the embedded device to report the state of the apparatus to the remote computer;

Fig. 5 is a flowchart of a process performed by the remote computer to interpret messages received from the embedded device; and

15 Fig. 6 is a block diagram of a network containing a remote computer and an apparatus having an embedded device the reports on the state of the apparatus using HTTP commands.

Description

Fig. 1 shows a network 10. Network 10 includes an apparatus 11 containing an embedded device 17, such as a controller (e.g., a microprocessor). Apparatus 11 is  
5 connected to an internal network 12, such as a LAN. A router or modem 14 interfaces internal network 12 to an external network 15, such as the Internet, that runs TCP/IP (Transmission Control Protocol/Internet Protocol) or some other suitable protocol. Connections may be, e.g., via  
10 Ethernet, wireless link, or telephone line. External network 15 contains remote computer 16, which may be a server, a personal computer (PC), or any other type of processing device. Other devices (not shown) may be  
15 included on internal network 12 and external network 15.

Processing In The Embedded Device

Apparatus 11 may be any type of device or may be included in any system having functions that are monitored and controlled by embedded device 17. Among other things,  
20 embedded device 17 executes software stored in memory 19 to generate and send, to remote computer 16, an e-mail message reporting the state of apparatus 11.

Software 20 includes an OPC (OLE for Process Control) server program 21, an XML (eXtensible Markup Language) processor program 24, and an e-mail program 25. E-mail program 25 is an SMTP-compliant (Simple Mail Transfer Protocol) program for sending e-mail from embedded device 17 to Internet addresses and for receiving e-mail from the Internet. E-mail program 25 operates as a mail transfer agent (MTA) for e-mail messages arriving at embedded device 17 and a mail delivery agent (MDA) for e-mail messages originating from embedded device 17. Other mail transfer protocols and programs may be also used by embedded device 17 in addition to, or instead of, those noted above.

XML processor program 24 is a program for generating XML code that reports the state of apparatus 11. XML is a self-describing computer language that defines variables and values relating to those variables. XML is self-describing in the sense that fields in the XML code identify variables and their values in the XML code. The template for XML used to generate an e-mail is as follows:

```
<name>temperature</name><value><##temperature##></value>,
```

where the "name" field identifies the name of a variable and the "value" field identifies the value of the variable that follows the "name" field. So, for the example given above, the variable is "temperature" and a value (e.g.,  
5 33.8) may be inserted for that variable as follows:

<name>temperature</name><value>33.8</value>.

XML processor program 24 generates XML code having the  
10 above syntax from a tag database 22 stored in memory 19.

Tag database 22 contains tags for use by XML processor  
program 24 in generating XML code. Fig 2 shows an example  
of a format for a tag 26, although other formats may be  
used. Tag 26 contains a name field 27, a description field  
15 29, a value field 30, a time stamp field 31, and an item  
identifier (ID) field 32. These fields are used to obtain,  
identify and store information relating to apparatus 11.

Name field 27 holds the name of a state variable for  
apparatus 11, such as "temperature", and description field  
20 29 provides further identification information, such as  
"temperature of fluid in a tank". Value field 30 holds the  
value of the state variable and time stamp field 31 holds

the time that the value in value field 30 was obtained. Value field 30 may include a variant, which is a construct that holds the value as an integer, a real number, a boolean, a character string, or some other type. Item ID  
5 field 32 holds an identifier that corresponds to hardware that is being monitored within apparatus 11. The identifier corresponds to a register location or to some other storage area of apparatus 11 that contains the value for field 30. For example, if embedded device 17 is in a  
10 robotics system, item ID field 32 might correspond to a register in the robotics system that contains a velocity or position of a robotic arm.

OPC server program 21 reads item IDs from field 32 and uses those item IDs to read variable values from  
15 corresponding hardware storage areas 34. OPC server program 21 implements an industrial automation protocol, such as MODBUS TCP, to communicate with the apparatus hardware. The system is not limited to use with the MODBUS protocol or with OPC server program 21; any drivers or  
20 computer programs may be used to read the state variable values from the hardware. Once a state variable value has been read, OPC server program 21 inserts the variable value

into field 30 of the appropriate tag.

Fig. 3 shows a process 36 for reporting the state of apparatus 11 to remote computer 16 using e-mail. In this embodiment, process 36 is implemented by OPC server program 21, XML processor program 24, e-mail program 25, and system software (not shown) executing in embedded device 17. The system software may include an operating system or other programs that control the background operation of embedded device 17.

Process 36 detects (301) the state of apparatus 11. The state may be indicative of an error condition (described below) within apparatus 11 or it may simply be state variables of apparatus 11 that are obtained at a particular time. To detect the state of apparatus 11, OPC server program 21 polls the hardware in apparatus 11 periodically. To perform this polling, OPC server program 21 obtains (301a) an item ID from tag database 22 and reads (301b) the value of a state variable that corresponds to the item ID from the appropriate hardware storage location. Process 36 may report the value to the remote computer as is or, alternatively, process 36 may use the value to identify and report an error condition in the hardware. A

process for reporting error conditions is described below.

Process 36 generates (302) an e-mail message reporting the value of state variable(s) for apparatus 11.

Specifically, XML processor program 24 retrieves both the

5 name of each state variable and the value of the state

variable from the appropriate tag(s) in tag database 22.

Other variables may also be retrieved from tag database 22

including the time stamp, description, and whatever other

variables are stored in tag database 22. Which information

10 is retrieved is pre-set in XML processor program 24. The

retrieved variables are used by XML processor program 24 to

generate XML code for an e-mail to remote computer 16.

XML processor program 24 may generate the XML code "on the fly", meaning without the use of a template. In this

15 case, a blank XML file is populated with the retrieved

variables in XML format by XML processor program 24.

Alternatively, XML processor program 24 may generate the

XML code using a pre-defined and formatted template. The

template may be obtained by XML processor program 24, e.g.,

20 from memory 19 or a remote storage location (not shown).

For example, the template may contain formatting similar to that shown above, namely:

<name>temperature</name><value><##temperature##></value>.

To generate the XML code from the template, XML processor  
5 program 24 scans through the template and inserts state  
variable value(s) retrieved from tag database 22, where  
appropriate. XML processor program 24 may generate the XML  
code periodically, depending upon how often e-mails are to  
be sent to the remote computer. Alternatively, tag manager  
10 software (not shown) may be included to provide newly-  
received tag variables to XML processor program 24. In  
this case, XML processor program 24 generates the XML code  
when it receives the new tag variables.

The resulting XML code may be part of the body of an  
15 e-mail or it may part of an attachment to an e-mail. The  
e-mail also contains a unique identifier, such as a code  
(e.g., serial number or identifier), that identifies  
embedded device 17 to remote computer 16. E-mail program  
25 obtains the XML code from XML processor program 24 and  
20 sends it to remote computer 16 as part of the e-mail  
message. E-mail program 25 obtains the code periodically,  
depending upon the frequency at which e-mails are to be



sent to the remote computer. The frequency is set  
beforehand in embedded device 17. The address of the  
remote computer may be registered with e-mail program 25  
beforehand. Typically, the address/remote computer will be  
5 that of an entity that requires information about apparatus  
11. For example, the entity may be a manufacturer of the  
apparatus, a plant monitoring system, or the like. The e-  
mail program sends the message to router/modem 14, which  
transfers it via external network 15 to remote computer 16.  
10 Then, the e-mail message is processed as described below.

The foregoing describes the case where embedded device  
17 simply reports the state of apparatus 11 to remote  
computer 16 periodically. Alternatively, embedded device  
17 may report the state to remote computer 16 only when an  
15 error condition or "alarm" is detected.

Fig. 4 shows a process 40 by which embedded device 17  
detects error conditions in apparatus 11 and sends an e-  
mail message to remote computer 16 when an error condition  
is detected. Process 40 detects (401) the state of  
20 apparatus 11, where, as above, "state" refers to tag  
variable values for apparatus 11. Detection (401) is  
performed in the same manner as process 36; therefore, a

description is omitted here. Once process 36 has obtained the state of apparatus 11, process 36 determines (402) if that state represents an error condition.

To detect an error condition, process 40 may compare  
5 an obtained state variable value to a predetermined acceptable value or a range of predetermined acceptable values. If the state variable value is outside the range of, or deviates considerably from, the acceptable value(s), then process 40 knows that an error condition is present.  
10 Alternatively, process 40 may store each state variable value in memory 19 as it is obtained, and compare each newly-received state variable value to one or more stored state variable values. If the new state variable value deviates by more than a predetermined amount from the  
15 stored value(s), process 40 knows that an error condition is present/has occurred.

An error condition may be based on a single state variable value or it may be based on some combination of two or more state variable values. For example, if  
20 embedded device 17 is in manufacturing equipment that monitors both a level of fluid in a tank and a temperature of that fluid, an error condition may only be present if

both the fluid level and the temperature exceed preset values. In this example, therefore, if only one state variable exceeds its corresponding preset value, then no error condition is present/has occurred.

5        If process 40 detects (402) an error condition,  
process 40 generates (403) an e-mail message and sends  
(404) the e-mail message to remote computer 16. The  
functions of generating and sending an e-mail message are  
performed as described above with respect to process 36;  
10        therefore, detailed descriptions are omitted here. When  
generating the e-mail message, e-mail program 25 may place  
the state variable(s) that caused the error condition in  
the "subject" line of the e-mail. If process 40 does not  
detect (402) an error condition, an e-mail message is not  
15        sent, whereafter process 40 returns to 401.

XML processor program 24 may maintain a log of error  
conditions in memory 19. This error condition "history"  
may be provided along with each new e-mail message. The  
history may relate to a particular state variable or to  
20        more than one state variable. For example, if the error  
condition pertains to temperature, XML processor program 24  
may include the error condition history for temperature in

the e-mail. If the error condition pertains to both temperature and tank level, XML processor program 24 may include the error condition history for both temperature and tank level in the e-mail. If a template is used to generate the e-mail message, portion(s) of that template may be reserved for error condition history.

Processes 36 and 40 can be combined to generate an e-mail periodically that reports the state of apparatus 11 to remote computer 16 even if no error conditions have been detected in apparatus 11, and that also flags any error conditions if any have been detected. XML processor program 24 adds an indicator or the like next to state variable values that correspond to error conditions.

Processes 36 and 40 may be executed by embedded device 17 to monitor and report on any type of state variables in any type of apparatus. For example, processes 36 and 40 may detect state variable values relating to conveyor belt speed, current and/or voltage in electronic devices, tank fluid levels, input/output sensors, and the like.

Processes 36 and 40 may detect state variable values through a programmable logic controller (PLC) that is connected to one or more other devices. A PLC includes

plug-in cards for each device that obtain and store device state variable values. OPC server program 21 communicates with these plug-in cards to obtain the device state variable values for generating e-mails as described above.

5 E-mails generated by processes 36 and 40 report the state of apparatus 11 using a self-describing computer language, such as XML; however, other types of self-describing computer languages may be used. In addition, other text and/or images may be included in the e-mails, if  
10 desired and appropriate under the circumstances. Described below is a process that is performed by remote computer 16 to interpret e-mails received from embedded device 17.

#### Processing In The Remote Computer

15 Remote computer 16 contains a controller 41 for executing software stored in memory 42. Among this software is e-mail program 44, XML parser 45, and customer relationship management (CRM) system software 46.

As in embedded device 17, e-mail program 44 is an  
20 SMTP-compliant program for receiving e-mail from embedded device 17 and other such devices. E-mail program 44 operates as a mail transfer agent (MTA) for e-mail messages

arriving at remote computer 16 and a mail delivery agent (MDA) for e-mail messages originating from remote computer 16. E-mail program 44 uses the same protocol as e-mail program 25 in embedded device 17.

5 XML parser 45 parses XML code in a received e-mail to extract variable values, including an identifier for apparatus 11. XML parser 45 recognizes field names, such as "name" and "value" from above and extracts corresponding state variable values from those fields. That is, XML  
10 parser 45 knows the syntax of XML. Knowing this, XML parser 45 is able to extract variable names from the "name" fields, corresponding variable values from the "value" fields, and any other information in the XML code.

15 XML parser 45 passes the state variable values, along with appropriate identifiers, to customer relationship management system software 46 or whatever other software or database requires/uses those state variable values.

Fig. 5 shows how an e-mail from embedded device 17 is processed (43). Once an e-mail has been received (501)  
20 from embedded device 17, XML parser 45 extracts (502) the state variable values of apparatus 11 from the e-mail. For example, XML parser 45 may extract tank levels, temperature

values, etc., of apparatus 11 monitored by embedded device 17. The state variable values may be indicative of error conditions in apparatus 11, as defined above, or simply state variables for apparatus 11 obtained at a given point  
5 in time.

XML parser 45 passes (503) the state variable values, i.e., the state of apparatus 11, to customer relationship management system software 46. Customer relationship management system software 46 uses these state variable  
10 values, e.g., to schedule maintenance for apparatus 11 if necessary, to provide software upgrades to apparatus 11, or for any other purpose. Because the XML code in the e-mail is readable by XML parser 45, reporting and scheduling by customer relationship management system software 46 can be  
15 done automatically. It is noted that e-mail program 44 may still forward an e-mail to a customer representative, technician, or the like, particularly if an e-mail contains human-readable text.

The software on remote computer 16 is not limited to  
20 that shown in Fig. 1. For example, XML parser 45 may be replaced by a parser that is capable of parsing/reading other types of computer code, depending upon the code that

is used in the received e-mail. Likewise, the parsed variables can be passed to software other than customer relationship management system software 46. For example, the variables can be stored in a database 47 for later use.

5

#### Alternative Embodiment

Referring to Fig. 6, a network 60 is shown on which an alternative embodiment of the invention is implemented.

Network 60 is identical to network 10, except that e-mail program 25 in apparatus 11 is replaced by Web client 61 and e-mail program 44 in remote computer 16 is replaced by Web server 62. This alternative configuration allows embedded device 17 to transfer messages to remote computer 16 as HTTP commands rather than e-mails.

The HTTP command may be an HTTP POST command, although other HTTP commands, such as an HTTP GET command, may instead be used. An example of an HTTP POST command that uses XML code to report the status of a fictitious "widget" apparatus is as follows:

20



POST /CONTROL HTTP/1.1  
Host: www.acme.com  
Content-Type: text/xml  
Content-length: nnn

```
5  <?xml version="1.0"?>
   <root xmlns="urn:schemas-upnp-org:device-1-0">
     <specVersion>
10    <major>1</major>
    <minor>0</minor>
   </specVersion>
   <device>
     <deviceType>urn:www-acme-
15 com:device:Widget:3</deviceType>
     <friendlyName>Widget</friendlyName>
     <manufacturer>Acme Industries</manufacturer>
     <modelName>Widget</modelName>
     <modelName>Widget</modelName>
     <serialNumber>3</serialNumber>
20    <UDN>uuid:4A89EA70-73B4-11d4-80DF-0050DAB7BAC5</UDN>
   </device>
 </root>
 <parameters>
   <Airflow xsd:type="integer">378</Airflow>
25   <Humidity xsd:type="double">46.7</Humidity>
   <Motor xsd:type="integer">1500</Motor>
   <Vent xsd:type="integer">4</Vent>
 </parameters>
 <alarms>
30   <Temperature>
     <description>Room temperature is above
83F</description>
     <severity>300</severity>
     <status>high</status>
35   </Temperature>
 </alarms>
```

XML is a self-describing computer language in the  
sense that fields in the XML code identify variables and  
40 their values in the XML code. For example, as shown in the

above POST command, the "manufacturer" field identifies a manufacturer, e.g., "Acme Industries", and is delineated by "<manufacturer>" to indicate the start of the field and "</manufacturer>" to indicate the end of the field. XML is  
5 used in the HTTP command because it can be generated, parsed and read relatively easily by XML parser 45.

The HTTP POST command includes data identifying apparatus 11. This data includes, but is not limited to, data identifying the type of the device, a common (or  
10 "friendly") name for the device, the manufacturer of the device, the model name of the device, the model number of the device, the serial number of the device, and a universal unique identifier (UUID) for the device. In the example post command, this data is formatted as:

15  
20  
25  
30  
35  
40  
45  
50  
55  
60  
65  
70  
75  
80  
85  
90  
95  
100  
105  
110  
115  
120  
125  
130  
135  
140  
145  
150  
155  
160  
165  
170  
175  
180  
185  
190  
195  
200  
205  
210  
215  
220  
225  
230  
235  
240  
245  
250  
255  
260  
265  
270  
275  
280  
285  
290  
295  
300  
305  
310  
315  
320  
325  
330  
335  
340  
345  
350  
355  
360  
365  
370  
375  
380  
385  
390  
395  
400  
405  
410  
415  
420  
425  
430  
435  
440  
445  
450  
455  
460  
465  
470  
475  
480  
485  
490  
495  
500  
505  
510  
515  
520  
525  
530  
535  
540  
545  
550  
555  
560  
565  
570  
575  
580  
585  
590  
595  
600  
605  
610  
615  
620  
625  
630  
635  
640  
645  
650  
655  
660  
665  
670  
675  
680  
685  
690  
695  
700  
705  
710  
715  
720  
725  
730  
735  
740  
745  
750  
755  
760  
765  
770  
775  
780  
785  
790  
795  
800  
805  
810  
815  
820  
825  
830  
835  
840  
845  
850  
855  
860  
865  
870  
875  
880  
885  
890  
895  
900  
905  
910  
915  
920  
925  
930  
935  
940  
945  
950  
955  
960  
965  
970  
975  
980  
985  
990  
995

```
<friendlyName>Widget</friendlyName>  
<manufacturer>Acme Industries</manufacturer>  
<modelName>Widget</modelName>  
<modelNumber>3</modelNumber>  
<serialNumber>53266D</serialNumber>  
<UDN>uuid:4A89EA70-73B4-11d4-80DF-0050DAB7BAC5</UDN>
```

The HTTP POST command also provides the state of apparatus 11. The state includes operational parameters  
25 and alarm conditions for apparatus 11. In the above HTTP POST command, these are formatted as follows:

```

5      <parameters>
        <Airflow xsd:type="integer">378</Airflow>
        <Humidity xsd:type="double">46.7</Humidity>
        <Motor xsd:type="integer">1500</Motor>
        <Vent xsd:type="integer">4</Vent>
      </parameters>
      <alarms>
        <Temperature>
10      <description>Room temperature is above
        83F</description>
        <severity>300</severity>
        <status>high</status>
        </Temperature>
15    </alarms>

```

Thus, the state of the widget includes information on its airflow, humidity, motor and vent settings, temperature, severity of the temperature, and temperature status.

Different information from that shown may be included in the HTTP POST command.

Referring back to Figs. 3, 4 and 5, in this embodiment the operation of processes 36, 40 and 43 is identical to that described above, except that, in all steps, the e-mail

message is replaced by an HTTP command. In apparatus 11, the HTTP command is generated by Web client 61 based on data provided by XML processor 24. This XML data is the same as that used above with e-mail program 25. Embedded device 17 sends the HTTP command to remote computer 16, where it is received by Web server 62 and then processed by

XML parser 45. Thereafter, processing proceeds as above.

### Architecture

Processes 36, 40 and 43 are not limited to use with  
5 the hardware/software configuration of Fig. 1; they may  
find applicability in any computing or processing  
environment. Processes 36, 40 and 43 may be implemented in  
hardware (e.g., an ASIC {Application-Specific Integrated  
Circuit} and/or an FPGA {Field Programmable Gate Array}),  
10 software, or a combination of hardware and software.

Processes 36, 40 and 43 may be implemented using one  
or more computer programs executing on programmable  
computers that each includes a processor, a storage medium  
readable by the processor (including volatile and non-  
15 volatile memory and/or storage elements), at least one  
input device, and one or more output devices.

Each such program may be implemented in a high level  
procedural or object-oriented programming language to  
communicate with a computer system. Also, the programs can  
20 be implemented in assembly or machine language. The  
language may be a compiled or an interpreted language.

Each computer program may be stored on a storage medium or device (e.g., CD-ROM, hard disk, or magnetic diskette) that is readable by a general or special purpose programmable computer for configuring and operating the computer when the storage medium or device is read by the computer to perform processes 36, 40 and 43.

Processes 36, 40 and 43 may also be implemented as a computer-readable storage medium, configured with a computer program, where, upon execution, instructions in the computer program cause the computer to operate in accordance with processes 36, 40 and 43.

Other embodiments not described herein are also within the scope of the following claims. For example, e-mail or http messages sent from apparatus 11 to remote computer 16 may be queued (e.g., stored in memory 19) and then retrieved and sent out at a later time. Queuing messages reduces message loss resulting from intermittent system failures.

What is claimed is:

1. A computer-implemented method for using a device embedded in an apparatus to report the state of the apparatus to a remote computer, comprising:

detecting the state of the apparatus;

5 generating a message that reports the state of the apparatus using a self-describing computer language; and  
sending the message to the remote computer.

10 2. The method of claim 1, wherein the message comprises an electronic mail message.

3. The method of claim 1, wherein the message comprises a hypertext transfer protocol command.

15 4. The method of claim 1, wherein the state is indicative of an error condition in the apparatus.

20 5. The method of claim 4, wherein the error condition comprises a variable that deviates from an acceptable value or a predetermined range of acceptable values.

6. The method of claim 1, wherein detecting the state comprises receiving the state from the apparatus.

7. The method of claim 1, wherein detecting the state  
5 comprises retrieving the state periodically from the apparatus.

8. The method of claim 1, wherein detecting the state comprises:

10 obtaining an identifier for the apparatus, the identifier relating to the state of the apparatus; and reading the state from the apparatus using the identifier.

15 9. The method of claim 1, further comprising: determining if the state of the apparatus has changed; wherein the electronic mail message is generated if the state of the apparatus has changed.

20 10. The method of claim 9, wherein determining comprises comparing the state received from the apparatus to a previous state of the apparatus.

11. The method of claim 1, wherein the self-describing computer language comprises eXtensible Markup Language (XML).

5

12. The method of claim 1, wherein the message is generated using a predefined template, the message being generated by:

obtaining one or more variables relating to the apparatus; and

inserting the one or more variables into the template.

13. The method of claim 1, wherein the state of the apparatus is included as part of a body of the message.

14. The method of claim 1, wherein the state of the apparatus is included as part of an attachment to the message.

15. A computer-implemented method for obtaining a state of an apparatus from a device embedded in the apparatus, comprising:



receiving a message that reports the state of the apparatus using a self-describing computer language; and extracting the state of the apparatus from the message.

5

16. The method of claim 15, wherein the message comprises an electronic mail message.

17. The method of claim 15, wherein the message comprises a hypertext transfer protocol command.

18. The method of claim 15, wherein the self-describing computer language comprises eXtensible Markup Language (XML).

19. The method of claim 15, wherein the state is indicative of an error condition in the apparatus.

20. The method of claim 19, wherein the error condition comprises a variable that deviates from an acceptable value or a predetermined range of acceptable values.

21. The method of claim 15, further comprising passing the state of the apparatus to a customer relationship management system.

5

22. A computer program stored on a computer-readable medium for reporting the state of an apparatus to a remote computer, the computer program comprising instructions that cause an embedded device in the apparatus to:

detect the state of the apparatus;

generate a message that reports the state of the apparatus using a self-describing computer language; and

send the message to the remote computer.

23. The computer program of claim 22, wherein the message comprises an electronic mail message.

24. The computer program of claim 22, wherein the message comprises a hypertext transfer protocol command.

20

25. The computer program of claim 22, wherein the state is indicative of an error condition in the apparatus.

26. The computer program of claim 25, wherein the error condition comprises a variable that deviates from an acceptable value or a predetermined range of acceptable values.

27. The computer program of claim 22, wherein detecting the state comprises receiving the state from the apparatus.

28. The computer program of claim 22, wherein detecting the state comprises retrieving the state periodically from the apparatus.

29. The computer program of claim 22, wherein detecting the state comprises:

obtaining an identifier for the apparatus, the identifier relating to the state of the apparatus; and

reading the state from the apparatus using the identifier.

30. The computer program of claim 22, further  
comprising instructions that cause the embedded device to:  
determine if the state of the apparatus has changed;  
wherein the message is generated if the state of the  
5 apparatus has changed.

31. The computer program of claim 30, wherein  
determining comprises comparing the state received from the  
apparatus to a previous state of the apparatus.

32. The computer program of claim 22, wherein the  
self-describing computer language comprises eXtensible  
Markup Language (XML).

33. The computer program of claim 22, wherein the  
message is generated using a predefined template, the  
message being generated by:

obtaining one or more variables relating to the  
apparatus; and

inserting the one or more variables into the template.

34. The computer program of claim 22, wherein the state of the apparatus is included as part of a body of the message.

5 35. The computer program of claim 22, wherein the state of the apparatus is included as part of an attachment to the message.

10 36. A computer program stored on a computer-readable medium for obtaining a state of an apparatus from a device embedded in the apparatus, the computer program comprising instructions that cause a processor to:

15 receive a message that reports the state of the apparatus using a self-describing computer language; and  
extract the state of the apparatus from the message.

37. The computer program of claim 36, wherein the message comprises an electronic mail message.

20 38. The computer program of claim 36, wherein the message comprises a hypertext transfer protocol command.

39. The computer program of claim 36, wherein the self-describing computer language comprises eXtensible Markup Language (XML).

5 40. The computer program of claim 36, wherein the state is indicative of an error condition in the apparatus.

10 41. The computer program of claim 40, wherein the error condition comprises a variable that deviates from an acceptable value or a predetermined range of acceptable values.

15 42. The computer program of claim 36, further comprising instructions that cause the processor to pass the state of the apparatus to a customer relationship management system.

20 43. A device embedded in an apparatus for reporting the state of the apparatus to a remote computer, the embedded device comprising circuitry which:

detects the state of the apparatus;

generates a message that reports the state of the

apparatus using a self-describing computer language; and  
sends the message to the remote computer.

44. The device of claim 43, wherein the message  
5 comprises an electronic mail message.

45. The device of claim 43, wherein the message  
comprises a hypertext transfer protocol command.

46. The device of claim 43, wherein the state is  
10 indicative of an error condition in the apparatus.

47. The device of claim 46, wherein the error  
condition comprises a variable that deviates from an  
15 acceptable value or a predetermined range of acceptable  
values.

48. The device of claim 43, wherein detecting the  
state comprises receiving the state from the apparatus.

20 49. The device of claim 43, wherein detecting the  
state comprises retrieving the state periodically from the

apparatus.

50. The device of claim 43, wherein detecting the state comprises:

5 obtaining an identifier for the apparatus, the identifier relating to the state of the apparatus; and reading the state from the apparatus using the identifier.

10 51. The device of claim 43, wherein:  
the circuitry determines if the state of the apparatus has changed; and  
the message is generated if the state of the apparatus has changed.

15 52. The device of claim 51, wherein determining comprises comparing the state received from the apparatus to a previous state of the apparatus.

20 53. The device of claim 43, wherein the self-describing computer language comprises eXtensible Markup Language (XML).



54. The device of claim 43, wherein the message is generated using a predefined template, the message being generated by:

5 obtaining one or more variables relating to the apparatus; and  
inserting the one or more variables into the template.

10 55. The device of claim 43, wherein the state of the apparatus is included as part of a body of the message.

56. The device of claim 43, wherein the state of the apparatus is included as part of an attachment to the message.

15 57. The device of claim 43, wherein the circuitry comprises a memory which stores executable instructions and a processor which executes the instructions.

20 58. The device of claim 43, wherein the circuitry comprises one or more of an application-specific integrated circuit and a programmable gate array.

59. A first apparatus for obtaining a state of a second apparatus from a device embedded in the second apparatus, the first apparatus comprising circuitry which:

5 receives a message that reports the state of the second apparatus using a self-describing computer language; and

extracts the state of the second apparatus from the message.

60. The first apparatus of claim 59, wherein the message comprises an electronic mail message.

61. The first apparatus of claim 59, wherein the message comprises a hypertext transfer protocol command.

62. The first apparatus of claim 59, wherein the self-describing computer language comprises eXtensible Markup Language (XML).

63. The first apparatus of claim 59, wherein the state is indicative of an error condition in the second

apparatus.

64. The first apparatus of claim 63, wherein the error condition comprises a variable that deviates from an acceptable value or a predetermined range of acceptable values.

65. The first apparatus of claim 59, wherein the circuitry passes the state of the second apparatus to a customer relationship management system.

66. The first apparatus of claim 59, wherein the circuitry comprises a memory which stores executable instructions and a processor which executes the instructions.

67. The first apparatus of claim 59, wherein the circuitry comprises one or more of an application-specific integrated circuit and a programmable gate array.

68. A system comprising:

a first device comprising circuitry which generates a

message reporting a state of an apparatus using a self-describing computer language, and

a second device, in communication with the first device, the second device comprising circuitry which  
5 receives the message from the first device.

69. The system of claim 68, wherein the message comprises an electronic mail message.

10 70. The system of claim 68, wherein the message comprises a hypertext transfer protocol command.

15 71. The system of claim 68, wherein the circuitry in the second device extracts the state of the apparatus from the electronic mail message.

72. The system of claim 68, wherein the first device is embedded in the apparatus and the second device comprises a remote computer.

20 73. The method of claim 1, further comprising queuing the message prior to sending the message.



REPORTING THE STATE OF AN  
APPARATUS TO A REMOTE COMPUTER

Abstract

5       The state of an apparatus is reported to a remote  
computer using an embedded device in the apparatus. The  
embedded device detects the state, generates a message that  
reports the state using a self-describing computer  
language, and sends the message to the remote computer.

10      The remote computer receives the message and extracts the  
state of the embedded device from the message.

15      20155861.doc

DRAWINGS  
FOR  
APPLICATION  
FOR  
UNITED STATES LETTERS PATENT

TITLE: REPORTING THE STATE OF AN APPARATUS TO A  
REMOTE COMPUTER

APPLICANT: JAMES R. HANSEN

FIGURES: 1 through 6

SHEETS: 6

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL227256402US

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 8, 2000  
Date of Deposit

Signature

Samantha Bell  
Typed or Printed Name of Person Signing Certificate

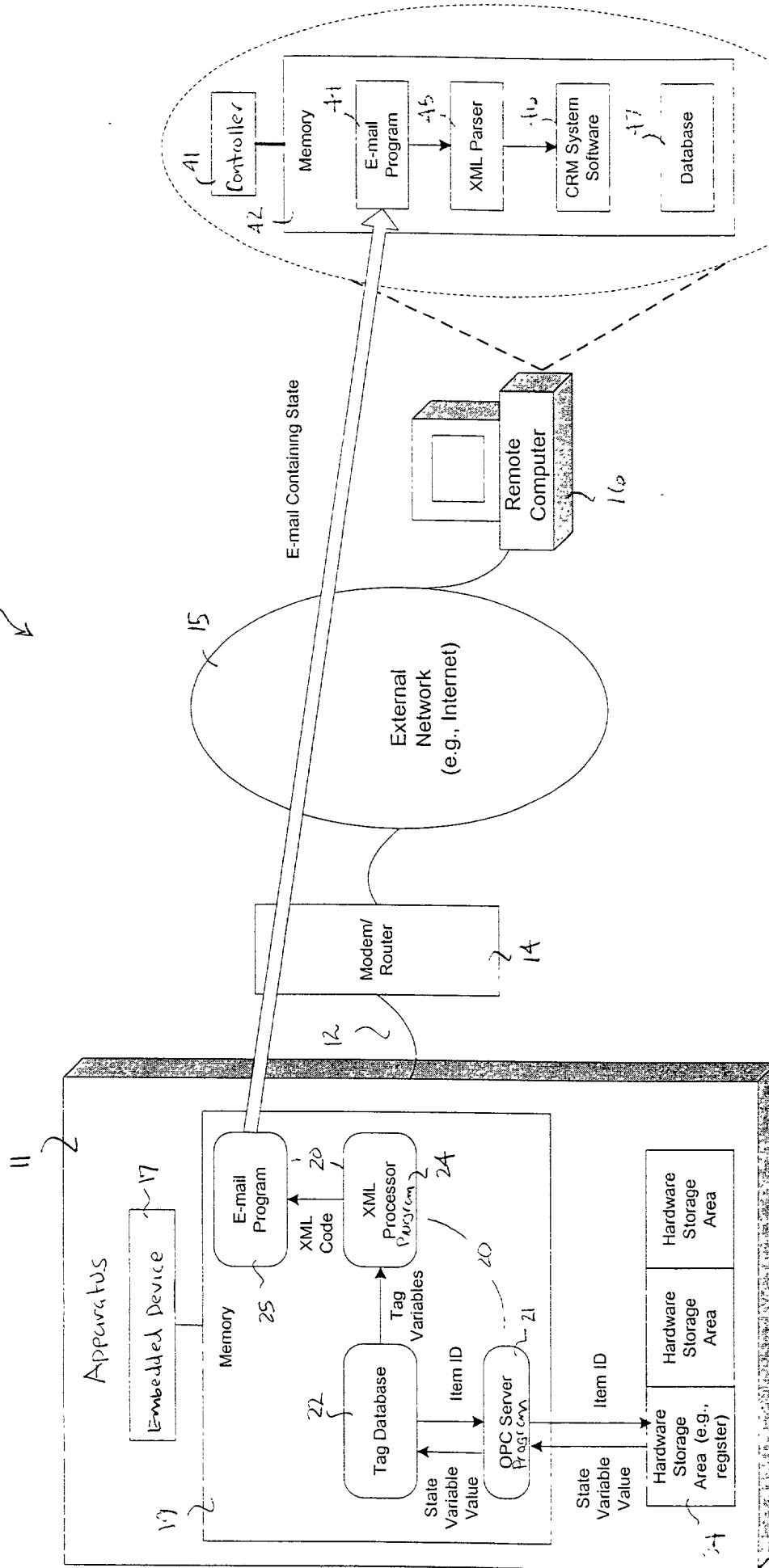


FIG. 1



NAME	~27
DESCRIPTION	~29
VALUE	~30
TIME STAMP	~31
ITEM ID	~32

**FIG. 2**

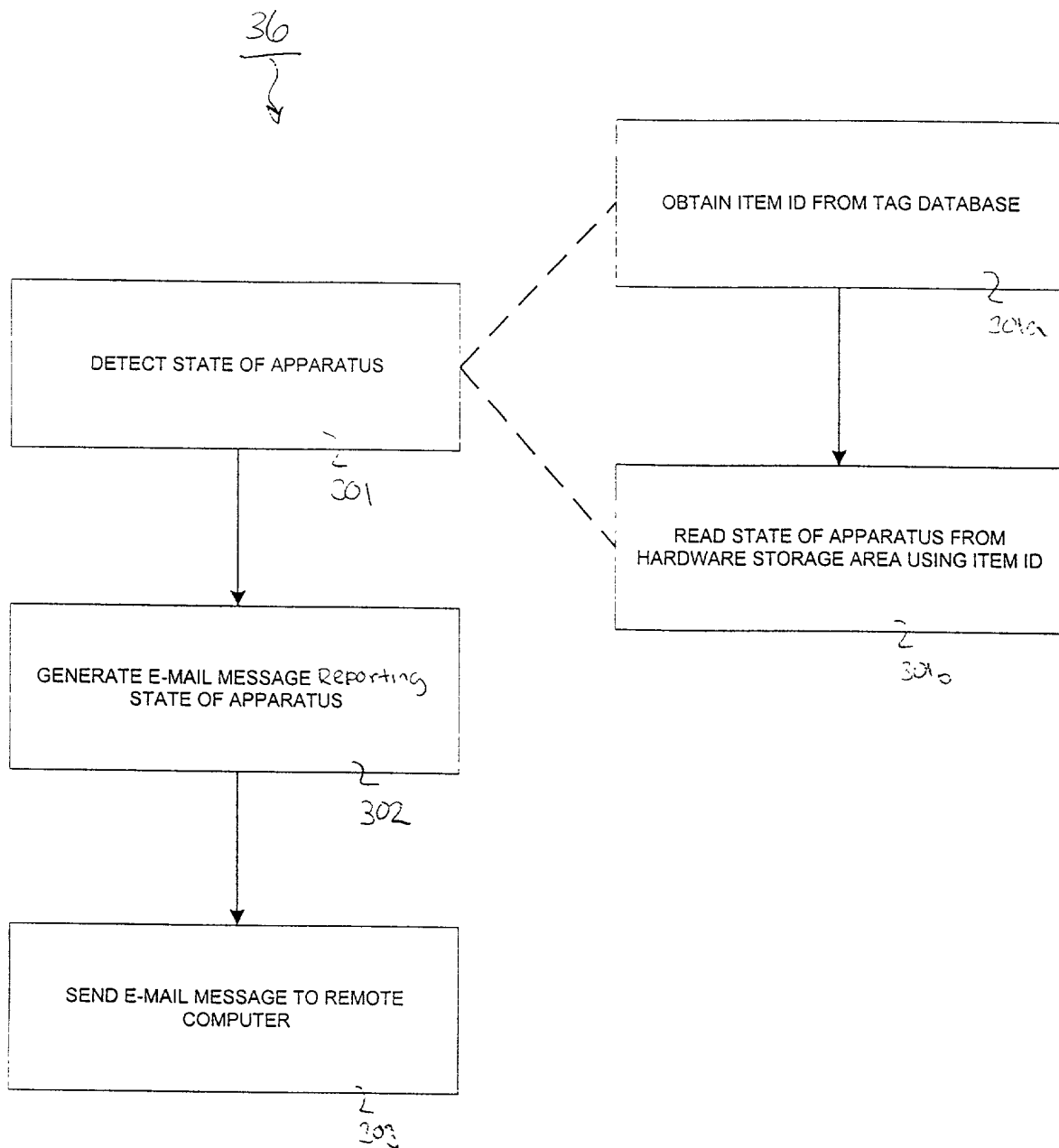
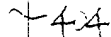


FIG. 3

40



**FIG. 4**



60

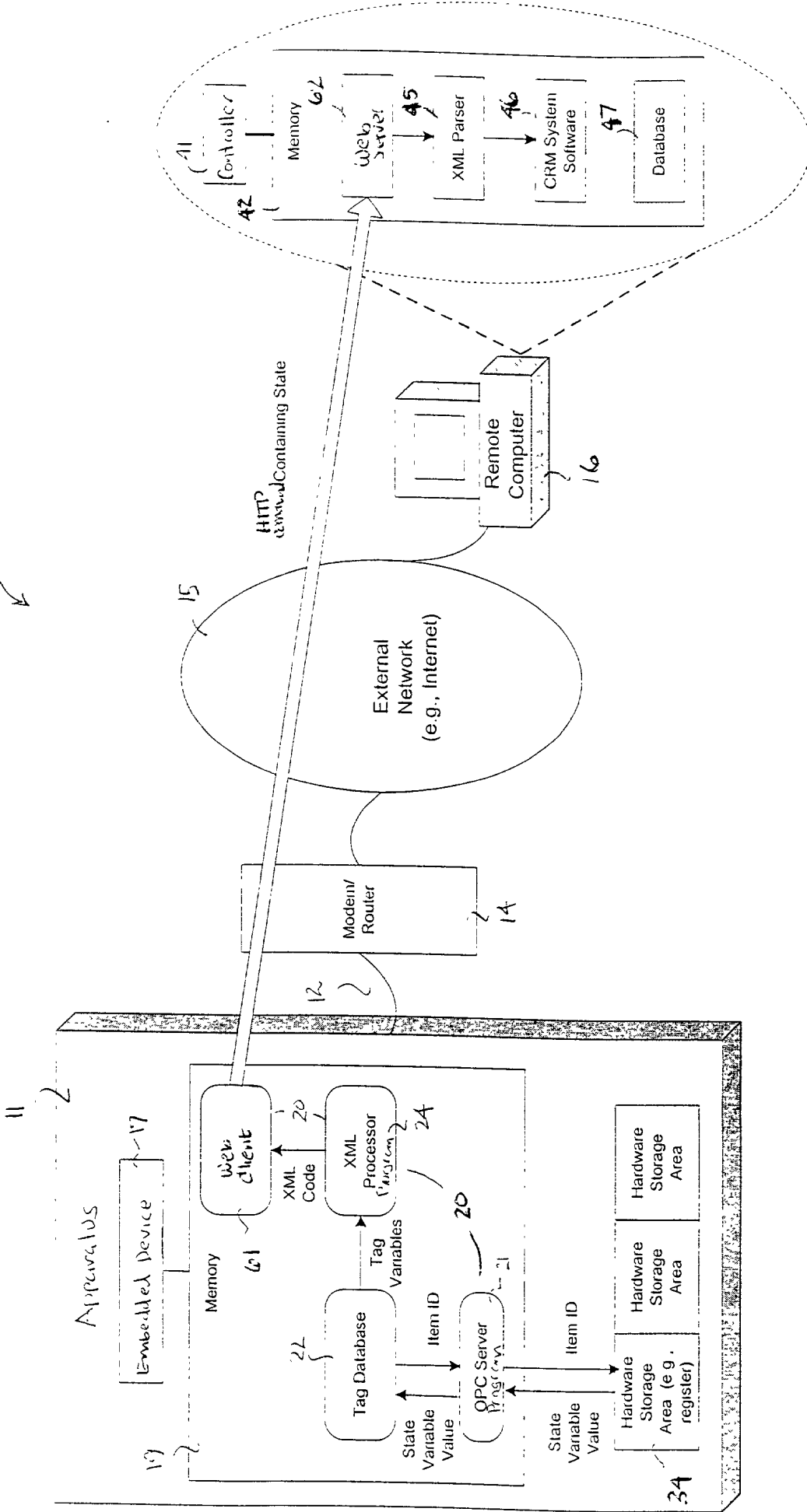


FIG. 6

11/03/00 FRI 12:23 FAX 6175428906

F&amp;R PC BOSTON

ATTORNEY DOCKET NO.: 11333-014001

**COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled REPORTING THE STATE OF AN APPARATUS TO A REMOTE COMPUTER, the specification of which:

- ☒ is attached hereto.  
☐ was filed on \_ as Application Serial No. \_ and was amended on \_  
☐ was described and claimed in PCT International Application No. \_ filed on \_ and as amended under PCT Article 19 on \_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

Address all telephone calls to PAUL A. PYSHER at telephone number (617) 542-5070.

Address all correspondence to ERIC L. PRAHL at:

FISH & RICHARDSON P.C.  
225 Franklin Street  
Boston, MA 02110-2804

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: James R. Hansen

Inventor's

Signature:

Residence

Address:

Citizenship:

Post Office

Address:

*James R. Hansen* Date: Nov 3, 2000  
Franklin, MA 02038

U.S.  
66 Stoneridge Road  
Franklin, MA 02038